

On the Stability of Maximal Submanifolds in Pseudo-Riemannian Manifolds

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§ 1. Introduction

There are many studies on the stability of minimal submanifolds in Riemannian manifolds (see, for example, [1], [2]). In the present paper, we investigate the stability of spacelike maximal submanifolds in a pseudo-Riemannian manifold. Let $f: M \rightarrow N$ be an immersion of a Riemannian manifold into a pseudo-Riemannian manifold. If f is a maximal immersion, then it represents a critical points for the area function on the space of all immersions of M into N . To study the stability of maximal immersions, we need a formula related with the second derivatives of the area function, the second variational formula.

In §2, we describe local formulas for immersions into pseudo-Riemannian manifolds. The second variational formula is given in §3. In §4, we will prove the stability of maximal immersions into pseudo-Riemannian manifolds of non-positive sectional curvature. We investigate the instability of a compact Riemannian manifold imbedded into the unit sphere S_p^{n+p} with index p in the last section.

§ 2. Local formulas

Let N be an $(n+p)$ -dimensional pseudo-Riemannian manifold with index p . Let M be an m -dimensional Riemannian manifold isometrically immersed in N . As the pseudo-Riemannian metric of N induces the Riemannian metric of M , we must assume that $m \leq n$ and we may call it the spacelike immersion. We choose a local field of pseudo-Riemannian orthonormal frames e_1, e_2, \dots, e_{n+p} in N such that at each point of M , e_1, e_2, \dots, e_m span the tangent space of M and forms an orthonormal frame there. We make use of the following convention on the ranges of indices if otherwise stated:

$$1 \leq A, B, C \leq n+p, 1 \leq i, j, k \leq m, m+1 \leq \alpha, \beta, \gamma \leq n+p$$

and we shall agree that repeated indices are summed over the respective ranges. Let $\{\omega_A\}$ be the coframe field dual to $\{e_A\}$. Then the pseudo-Riemannian metric

of N is given locally by

$$ds_N^2 = \sum_{a=1}^n \omega_a^2 - \sum_{s=n+1}^{n+p} \omega_s^2 = \sum \varepsilon_A \omega_A^2,$$

where $\varepsilon_a=1$ for $1 \leq a \leq n$ and $\varepsilon_s=-1$ for $n+1 \leq s \leq n+p$. The structure equations of N are given by

$$(2.1) \quad \begin{aligned} d\omega_A &= \sum \varepsilon_B \omega_{AB} \wedge \omega_B, \quad \omega_{AB} + \omega_{BA} = 0, \\ d\omega_{AB} &= \sum \varepsilon_C \omega_{AC} \wedge \omega_{CB} - \frac{1}{2} \sum \varepsilon_C \varepsilon_D K_{ABCD} \omega_C \wedge \omega_D. \end{aligned}$$

We denote by θ_A, θ_{AB} the restrictions of ω_A, ω_{AB} to M . Then $\theta_\alpha=0$ for $m+1 \leq \alpha \leq n+p$ and the Riemannian metric is given by $ds_M^2 = \sum \theta_i^2$. We may put

$$(2.2) \quad \omega_{ai} = \sum h_{aij} \omega_j.$$

Then h_{xij} are the components of the second fundamental form of the immersion. From (2.1), we obtain the structure equations of M ;

$$(2.3) \quad \begin{aligned} d\theta_i &= \sum \theta_{ij} \wedge \theta_j, \\ d\theta_{ij} &= \sum \theta_{ik} \wedge \theta_{kj} - \frac{1}{2} \sum R_{ijkl} \theta_k \wedge \theta_l \end{aligned}$$

and the Gauss formula

$$(2.4) \quad R_{ijkl} = K_{ijkl} + \sum \varepsilon_\alpha (h_{\alpha ik} h_{\alpha jl} - h_{\alpha il} h_{\alpha jk}).$$

We call $H = \frac{1}{m} \sum_\alpha (\sum_i h_{\alpha ii}) e_\alpha$ the *mean curvature normal*. In the present paper, we study an immersion with *vanishing mean curvature*, that is, $H=0$. When $n=m$, an immersion with vanishing mean curvature is said to be *maximal*.

§ 3. Variational formulas

We will follow the method in [3]. Let $f: M \rightarrow N$ be an immersion as in §2. If M is compact, possibly with boundary, its total volume is given by the integral

$$(3.1) \quad V = \int_M \theta_1 \wedge \cdots \wedge \theta_m.$$

Let I be the interval $-\frac{1}{2} < t < \frac{1}{2}$. Let $F: M \times I \rightarrow N$ be a differentiable mapping such that its restriction to $M \times t$, $t \in I$, is an immersion and that $F(m, 0) = f(m)$, $m \in M$. Put $f_t(m) = F(m, t)$. We call f_t the variation of f . Let $\{e_A(m, t)\}$ be a local frame field over $M \times I$ such that for every $t \in I$, $e_i(m, t)$ are tangent to $F(M \times t)$ and hence $e_\alpha(M, t)$ are normal vectors. The forms ω_A, ω_{AB} can be written

$$(3.1) \quad \omega_i = \theta_i + a_i dt, \quad \omega_\alpha = a_\alpha dt, \quad \omega_{i\alpha} = \theta_{i\alpha} + a_{i\alpha} dt,$$

where $\theta_i, \theta_{i\alpha}$ are linear differential forms in M with coefficients which may depend on t . For $t=0$ they are reduced to the forms with the same notation on M . The vector $\sum \varepsilon_A a_A e_A$ at $t=0$ is called the deformation vector. The operator d on $M \times I$ is written as

$$(3.2) \quad d = d_M + dt \frac{\partial}{\partial t}.$$

Using (2.1), we get

$$(3.3) \quad d(\omega_1 \wedge \cdots \wedge \omega_m) = \sum \varepsilon_\alpha \omega_\alpha \Omega_\alpha,$$

where

$$(3.4) \quad \Omega_\alpha = - \sum_i \omega_1 \wedge \cdots \wedge \omega_{i-1} \wedge \omega_{i\alpha} \wedge \omega_{i+1} \wedge \cdots \wedge \omega_m.$$

Substituting (3.1) into (3.3) and considering the coefficient of dt , we get

$$(3.5) \quad \frac{\partial}{\partial t} (\theta_1 \wedge \cdots \wedge \theta_m) = d_M \sum (-1)^{i-1} a_i \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m \\ + \sum \varepsilon_\alpha a_\alpha \Theta_\alpha$$

where

$$(3.6) \quad \Theta_\alpha = - \sum_i \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i\alpha} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m.$$

Thus the first variation of volume is written as

$$(3.7) \quad V'(0) = \int_M \sum \varepsilon_\alpha a_\alpha \Theta_\alpha + \int_{\partial M} \sum (-1)^{i-1} a_i \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m.$$

If the deformation vector is normal to M along the booundary ∂M , the second term at the right hand side of (3.5) vanishes. This condition is satisfied if the boundary ∂M remains fixed. The first integral is zero for arbitrary a_α if and only if the mean curvature normal H vanishes.

To obtain the second variational formula, we also follow Chern (see §8 in [3]). By exterior differentiation of Ω_α , we have

$$(3.8) \quad -d\Omega_\alpha = - \sum \sum \varepsilon_\beta \omega_\beta \wedge \omega_1 \wedge \cdots \wedge \omega_{j-1} \wedge \omega_{j\beta} \wedge \omega_{j+1} \wedge \cdots \wedge \omega_{i-1} \wedge \omega_{i\alpha} \wedge \omega_{i+1} \\ \wedge \cdots \wedge \omega_m + \sum \varepsilon_\beta \omega_{\beta\alpha} \wedge \omega_\beta + \sum \varepsilon_\beta \tilde{K}_{\alpha\beta} \omega_\beta \wedge \omega_1 \wedge \cdots \wedge \omega_m \\ + \text{terms quadratic in } \omega_\alpha, \omega_\beta,$$

where

$$(3.9) \quad \tilde{K}_{\alpha\beta} = \sum_i K_{i\alpha i\beta}.$$

Substituting (3.1) into (3.4), we get

$$(3.10) \quad \Omega_\alpha = \Theta_\alpha + dt \wedge \Phi_\alpha,$$

where

$$(3.11) \quad \Phi_\alpha = \sum (-1)^i a_{i\alpha} \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m + \sum \sum (-1)^j a_j \theta_1 \wedge \cdots \wedge \theta_{j-1} \wedge \theta_{j+1} \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m.$$

From (3.10), it follows

$$(3.12) \quad d\Omega_\alpha = dt \wedge \frac{\partial \Theta_\alpha}{\partial t} - dt \wedge d_M \Phi_\alpha + d_M \Theta_\alpha.$$

Substituting (3.1) into (3.8), we have

$$(3.13) \quad -d\Omega_\alpha = -dt \wedge (\sum \varepsilon_\beta \omega_{\beta\alpha} \wedge \Phi_\alpha + \Delta_\alpha) + \text{other terms},$$

where

$$(3.14) \quad \Delta_\alpha = -\sum \varepsilon_\beta \tilde{k}_{\alpha\beta} a_\beta \theta_1 \wedge \cdots \wedge \theta_m + \sum \sum \varepsilon_\beta a_\beta \theta_1 \wedge \cdots \wedge \theta_{j-1} \wedge \theta_{j\beta} \wedge \theta_{j+1} \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i\alpha} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m.$$

From (3.12) and (3.13), we obtain

$$(3.15) \quad \frac{\partial \Theta_\alpha}{\partial t} = d_M \Phi_\alpha + \sum \varepsilon_\beta \omega_{\beta\alpha} \wedge \Phi_\beta + \Delta_\alpha.$$

Taking the exterior derivative of the second equation of (3.1) and using (2.1), we get

$$(3.16) \quad d_M a_\alpha = -\sum (a_i \theta_{i\alpha} - a_{i\alpha} \theta_i) - \sum \varepsilon_\beta a_\beta \omega_{\beta\alpha}.$$

Combining (3.15) and (3.16), we have

$$(3.17) \quad \begin{aligned} \frac{\partial}{\partial t} (\sum \varepsilon_\alpha a_\alpha \Theta_\alpha) &= \sum \varepsilon_\alpha \frac{\partial a_\alpha}{\partial t} \Theta_\alpha + d_M (\sum \varepsilon_\alpha a_\alpha \Phi_\alpha) + \sum \varepsilon_\alpha a_\alpha \Delta_\alpha \\ &\quad + \sum \varepsilon_\alpha (a_i \theta_{i\alpha} - a_{i\alpha} \theta_i) \wedge \Phi_\alpha. \end{aligned}$$

In the sequel, we assume that $f: M \rightarrow N$ is an immersion with vanishing mean curvature normal, that is, $\Theta_\alpha|_{t=0} = 0$. Differentiating (3.5) and setting $t=0$, we get

$$(3.18) \quad \begin{aligned} V''(0) &= \int \frac{\partial^2}{\partial t^2} (\theta_1 \wedge \cdots \wedge \theta_m) |_{t=0} \\ &= \int_{\partial M} \left(\frac{\partial}{\partial t} \sum (-1)^{i-1} a_i \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m + \sum \varepsilon_\alpha a_\alpha \Phi_\alpha \right) \\ &\quad + \int_M (\sum \varepsilon_\alpha (a_i \theta_{i\alpha} - a_{i\alpha} \theta_i) \wedge \Phi_\alpha + \sum \varepsilon_\alpha a_\alpha \Delta_\alpha). \end{aligned}$$

Moreover assume that the variation vector is normal and the boundary ∂M is fixed, that is, $a_i=0$ and $a_\alpha(m, 0)=0$, $m \in \partial M$. Then on M , we have

$$\Phi_\alpha = \sum (-1)^i a_{i\alpha} \theta_1 \wedge \cdots \wedge \theta_{i-1} \wedge \theta_{i+1} \wedge \cdots \wedge \theta_m.$$

and

$$\sum \varepsilon_\alpha a_\alpha \Delta_\alpha = - \left(\sum_{\alpha, \beta} \varepsilon_\alpha \varepsilon_\beta a_\alpha a_\beta \tilde{K}_{\alpha\beta} + \sum \varepsilon_\alpha \varepsilon_\beta a_\alpha a_\beta \sigma_{\alpha\beta} \right) dM$$

where $dM = \theta_1 \wedge \cdots \wedge \theta_m$ and

$$(3.19) \quad \sigma_{\alpha\beta} = \sum_{i,j} h_{\alpha i j} h_{\beta i j}.$$

Thus we obtain

$$(3.20) \quad V''(0) = \int \left(\sum \varepsilon_\alpha a_\alpha^2 - \sum \varepsilon_\alpha \varepsilon_\beta a_\alpha a_\beta (\tilde{k}_{\alpha\beta} + \sigma_{\alpha\beta}) \right) dM.$$

From (3.16), we have on M

$$(3.21) \quad \sum a_{i\alpha} \theta_i = da_\alpha + \sum \varepsilon_\beta a_\beta \omega_{\beta\alpha}.$$

Hence $a_{i\alpha}$ are the coefficients of the covariant derivatives of $a = \sum \varepsilon_\alpha a_\alpha e_\alpha$. Its second covariant derivative is given by

$$(3.22) \quad \sum a_{i\alpha i} \theta_i = da_{i\alpha} + \sum a_{j\alpha} \theta_{ji} + \sum \varepsilon_\beta a_\beta \omega_{\beta\alpha}$$

and the Laplacian of a

$$(3.23) \quad \Delta a_\alpha = \sum a_{i\alpha i}.$$

Hence we have

$$(3.24) \quad d(\sum \varepsilon_\alpha a_\alpha a_{i\alpha} * \theta_i) = (\sum \varepsilon_\alpha a_\alpha^2 + \langle \Delta a, a \rangle) dM.$$

Thus, by putting

$$(3.25) \quad La_\alpha = -\Delta a_\alpha - \sum \varepsilon_\beta a_\beta (\tilde{K}_{\alpha\beta} + \sigma_{\alpha\beta}),$$

we obtain

$$(3.26) \quad V''(0) = \int_M \langle La, a \rangle dM.$$

The operator introduced in (3.25) is a strongly elliptic operator and has distinct real eigenvalues $\lambda_1 < \lambda_2 < \cdots < \lambda_p < \cdots \rightarrow \infty$. Moreover, the dimension of each eigenspace is finite. The *index* of M is the sum of the dimensions of the eigenspaces which correspond to negative eigenvalues. The *nullity* to M is the dimension of the null eigenspace.

§4. The stability of spacelike maximal immersions

In this section we assume that $n = m$. Then we have $\varepsilon_\alpha = -1$ for all $n+1 \leq \alpha \leq n+p$. The condition $H=0$ implies that the immersion is maximal. In this case, the second variational formula (3.20) is reduced to

$$(4.1) \quad V''(0) = - \int (\sum a_{i\alpha}^2 + \sum (\tilde{k}_{\alpha\beta} + \sigma_{\alpha\beta}) a_\alpha a_\beta) dM.$$

We can put

$$(4.2) \quad \|h(a)\|^2 = \sum \sigma_{\alpha\beta} a_\alpha a_\beta$$

and

$$(4.3) \quad \sum \tilde{K}_{\alpha\beta} a_\alpha a_\beta = - \sum_i K(e_i, a) \|a\|^2,$$

where $\|a\|^2 = \sum a_\alpha^2$ and each $K(e_i, a)$ is the sectional curvature of N for the plane spanned by e_i and a . Now we let $f: M \rightarrow N$ be a maximal isometric immersion. Let D be a domain on M with \bar{D} compact. Then the domain is called *stable* if $V''_D(0) \leq 0$, where $V''_D(0)$ is the second variation for the immersion $f|_D: D \rightarrow N$, the restriction of f to D . The immersion is stable if every such domain is stable. Thus from (4.1), we have

$$(4.4) \quad V''_D(0) = - \int_D (\sum_{i,\alpha} a_{i\alpha}^2 + \|h(a)\|^2 - \sum_i K(e_i, a) \|a\|^2) dM.$$

Thus we obtain

Proposition 4.1. *Let $f: M \rightarrow N$ be an maximal isometric immersion of a Riemannian manifold M into a pseudo-Riemannian manifold with non-positive sectional curvature. Then the maximal immersion is stable.*

Let $H_p^{n+p}(r)$ be the pseudo-hyperbolic space of radius $r (> 0)$ (see, for example, [4] or [5]). We constructed the maximal isometric immersion of $H^2(\sqrt{3})$ into $H_2^4(1)$ and the maximal isometric immersion of $H^{n_1}(\sqrt{n_1/n}) \times \cdots \times H^{n_{p+1}}(\sqrt{n_{p+1}/n})$ into $H_p^{n+p}(1)$, where $n_1 + \cdots + n_{p+1} = n$. From the above proposition, it is evident that these immersions are stable. Let M be a compact hypersurface of N , that is, $p=1$. In this case, a deformation vector a is written as $a = u e_{n+1}$, where e_1, \dots, e_{n+1} is a local orthonormal frame field of N such that on M , e_{n+1} is a timelike normal unit vector field. Let D be a relative compact domain on M . From (4.4), it follows

$$(4.5) \quad \begin{aligned} V''_D(0) &= - \int_D (|\nabla u|^2 + (\|h\|^2 - \sum_i K(e_i, e_{n+1})) u^2) dM \\ &= \int_D (u \Delta u - (\|h\|^2 - \sum_i K(e_i, e_{n+1})) u^2) dM, \end{aligned}$$

where Δ is the Laplacian on D . As it is well known, the first eigenvalue $\lambda_1(D)$ satisfies

$$\int_D |\nabla u|^2 dM \geq \lambda_1(D) \int_D u^2 dM.$$

Hence, from (4.5), we obtain

Proposition 4.2. *If M is a n -dimensional spacelike maximal hypersurface of a Lorentzian manifold N . Assume that the sectional curvature is bounded above by the positive constant c . Let D be a relative compact domain on M . If the first eigenvalue of the Laplacian of D satisfies $\lambda_1(D) \geq nc$, then D is stable.*

Now assume that M is a maximal spacelike surface immersed in a 3-dimensional Lorentzian manifold. Then from the Gauss formula (2.4), we get

$$(4.7) \quad 2(R - K(e_1, e_2)) = \|h\|^2,$$

where R is the curvature of M . If the sectional curvature of N is bounded above by a positive constant c , we have from (4.6), for a relative compact domain D on M ,

$$(4.8) \quad V_D''(0) \leq - \int_D (|\nabla u|^2 + 2(R - 2c)) dM.$$

Thus we obtain

Proposition 4.3. *Let M be a maximal spacelike surface immersed in a 3-dimensional Lorentzian manifold. Assume that the sectional curvature is bounded above by a positive constant c . If the curvature of M is bounded below by the constant $2c$, the immersion is stable.*

§5. Compact maximal submanifolds in S_p^{n+p}

Let $N = S_p^{n+p}$ be the pseudosphere with index p , that is, $S_p^{n+p} = \{x \in R_p^{n+p+1} : \langle x, x \rangle = x_1^2 + \cdots + x_{n+1}^2 - x_{n+2}^2 - \cdots - x_{n+p+1}^2\}$. In this case, $\tilde{K}_{\alpha\beta}$ defined by (3.9) are given by $\tilde{K}_{\alpha\beta} = n\varepsilon_\alpha \delta_{\alpha\beta}$. In this section, if a Riemannian manifold M is immersed in S_p^{n+p} with vanishing mean curvature normal, we say that M is immersed maximally in S_p^{n+p} , though it is an abuse of language. Now, we consider the standard totally geodesic imbedding of S^m into S_p^{n+p} ($m \leq n$). Then the corresponding operator defined by (3.25) is reduced to

$$(5.1) \quad La_\alpha = -\Delta a_\alpha - na_\alpha.$$

Thus, by using the same argument in the proof of Proposition 5.1.1 in [6], we have

Proposition 5.1. *When S^m is regarded as a maximal submanifold of S_p^{n+p} , its index is $n+p-m$ and its nullity is $(m+1)(n+p-m)$.*

Let $\{f_{A'}\}$ be the frame field on R_p^{n+p+1} given by the parallel translation of the standard base of R_p^{n+p+1} . It satisfies $\langle f_{n+p+1}, f_{n+p+1} \rangle = 1$ and

$$(5.2) \quad \langle f_A, f_B \rangle = \varepsilon_A \delta_{AB}, \quad \varepsilon_i = 1 \quad \text{for } 0 \leq i \leq n+p, \\ \varepsilon_\alpha = -1 \quad \text{for } n+1 \leq \alpha \leq n+p.$$

Let $\{\theta_{A'}\}$ be the dual coframe field. Then we have

$$(5.3) \quad \theta_{A'}(f_{B'}) = \varepsilon_{A'} \delta_{A'B'}, \quad \text{for } 1 \leq A', \quad B' \leq n+p+1.$$

Take a local frame field $\{e_{A'}\}$ on S_p^{n+p} such that $\langle e_{A'}, e_{B'} \rangle = \varepsilon_{A'} \delta_{A'B'}$ and for each $x \in S_p^{n+p}$, $e_{n+p+1}(x) = x$. Its dual coframe field $\{\omega_{A'}\}$ satisfies

$$(5.4) \quad \omega_{A'}(e_{B'}) = \varepsilon_{A'} \delta_{A'B'}.$$

Put

$$(5.5) \quad f_{A'} = \sum_{B'=1}^{n+p+1} \varepsilon_{B'} \lambda_{A'B'} e_{B'}, \quad \theta_{A'} = \sum_{B'=1}^{n+p+1} \varepsilon_{B'} \mu_{A'B'} \omega_{B'}.$$

Then we have

$$(5.6) \quad \sum \varepsilon_{B'} \lambda_{A'B'} \mu_{B'C'} = \varepsilon_{A'} \delta_{A'C'}.$$

We can put

$$(5.7) \quad dx = \sum \varepsilon_{A'} \omega_{A'} e_{A'}, \quad de_{A'} = \sum \varepsilon_{B'} \omega_{A'B'} e_{B'}.$$

By the exterior differentiation of the first equation at (5.5), we get

$$d\lambda_{A'B'} + \sum \varepsilon_{C'} \lambda_{A'C'} \omega_{C'B'} = 0.$$

Using (5.6), we have

$$(5.8) \quad \omega_{A'B'} = - \sum \varepsilon_{C'} \mu_{C'A'} d\lambda_{C'B'}.$$

As we have $\langle dx, x \rangle = 0$, we have $\omega_{n+p+1} = 0$ on S_p^{n+p} . From (5.7), it follows

$$de_{n+p+1} = \sum \varepsilon_A \omega_{n+1A} e_A = \sum \varepsilon_A \omega_A e_A.$$

Thus we have $\omega_{n+1A} = \omega_A$ on S_p^{n+p} . Hence the second fundamental form H_{AB} of S_p^{n+p} in R_p^{n+p+1} is given by

$$(5.9) \quad H_{AB} = \varepsilon_A \delta_{AB}.$$

Let $\tilde{Z} = \sum \varepsilon_{A'} c_{A'} f_{A'}$ be a parallel vector field on R_p^{n+p+1} where $c_{A'}$ are constants. Denote by $Z = \sum \varepsilon_A z_A e_A$ the tangential projection onto S_p^{n+p} of \tilde{Z} . Then we have

$$(5.10) \quad z_B = \sum \varepsilon_{A'} c_{A'} \lambda_{A'B'}.$$

The coefficients of the covariant derivative of Z are given by

$$(5.11) \quad \sum \varepsilon_A z_{BA} \omega_A = dz_B + \sum \varepsilon_A z_A \omega_{AB}.$$

Then, using (5.8), we obtain

$$(5.12) \quad z_{AB} = \lambda \varepsilon_A \delta_{AB},$$

where we put $\lambda = -\sum \varepsilon_{A'A'} \lambda_{A'n+p+1}$.

Let M be a compact m -dimensional Riemannian manifold imbedded maximally in S_p^{n+p} . We may assume that the frame field satisfies that e_1, \dots, e_m are tangent to M . Now put on M

$$(5.13) \quad Z^T = \sum z_i e_i, \quad Z^N = \sum \varepsilon_\alpha z_\alpha e_\alpha.$$

The covariant derivative of Z^T is given by

$$(5.13) \quad \sum z_{\alpha i}^N \omega_i = dz_\alpha + \sum \varepsilon_\beta z_\beta \omega_{\beta\alpha}.$$

From (5.12), it follows

$$(5.14) \quad z_{\alpha i}^N = -\sum z_j h_{\alpha j i}.$$

Similarly, the covariant derivative of Z^T is given by

$$(5.15) \quad \sum z_{j i}^T \omega_i = dz_j + \sum z_i \omega_{ij}.$$

Hence, we have

$$(5.16) \quad z_{i j}^T = \lambda \delta_{ij} + \sum \varepsilon_\alpha z_\alpha h_{\alpha i j}.$$

The second covariant derivative of Z^N is also given by

$$(5.17) \quad \sum z_{\alpha i j}^N \omega_j = dz_{\alpha i} + \sum z_{\alpha j}^N \omega_{ji} + \sum \varepsilon_\beta z_\beta^N \omega_{\beta\alpha}.$$

By a calculation, we get

$$(5.18) \quad Z_{\alpha i j}^N = -\sum z_k h_{\alpha k i j} - \lambda h_{\alpha i j} + \sum \varepsilon_\beta z_\beta h_{\alpha k i} h_{\beta k j},$$

where $h_{\alpha k i j}$ are the components of the covariant derivative of the second fundamental form h of M and satisfy

$$(5.19) \quad h_{\alpha k i j} = h_{\alpha k j i}, \quad h_{\alpha k i j} = h_{\alpha i k j}.$$

As M is a maximal submanifold, that is, $\sum h_{\alpha i i} = 0$, it follows from (5.19) that $\sum h_{\alpha k i i} = 0$. Thus we obtain

$$(5.20) \quad \Delta z_\alpha = \sum z_{\alpha i i}^N = -\sum \varepsilon_\beta \sigma_{\alpha\beta} z_\beta.$$

The operator L defined (3.25) is reduced to

$$(5.21) \quad Lz_\alpha = -\Delta z_\alpha - nz_\alpha - \sum \varepsilon_\beta \sigma_{\alpha\beta} z_\beta = -nz_\alpha.$$

In other words, we obtain $LZ^N = -nZ^N$. Thus we have

Lemma 5.2. *Let M be a m -dimensional compact Riemannian manifold imbedded maximally in S_p^{n+p} . Then it holds the index of $M \geq n+p-m$.*

By the same argument in the proof of Proposition 5.1.6 in [6], we have

Lemma 5.3. *Under the same assumption of Lemma 5.2, the index of M is $n+p-m$ if and only if M is isometric to S^n , and imbedded in the standard way as a totally geodesic submanifold.*

A Killing vector field X on a pseudo-Riemannian manifold is a vector field for which the Lie derivative of the metric tensor vanishes. Let $X = \sum X_A e_A$ be the vector field on S_p^{n+p} . Then it is a Killing vector field if and only if it is skew-adjoint relative to the metric, that is,

$$(5.22) \quad X_{AB} + X_{BA} = 0,$$

where X_{AB} are the components of the covariant derivative of X (see p. 250 of [5]). Let X_{ABC} be the components of the covariant derivative of the Killing vector field X . Then they satisfy

$$(5.23) \quad X_{ABC} - X_{ACB} = \sum \varepsilon_D X_D K_{DABC},$$

where $K_{DABC} = \varepsilon_D \varepsilon_A (\delta_{DB} \delta_{AC} - \delta_{AD} \delta_{BC})$. Let M be a compact Riemannian manifold immersed maximally in S_p^{n+p} . Let X^N be the normal vector field on M by normal projection of a Killing vector field X . Then the components of the covariant derivative of X^N are given by

$$(5.24) \quad X_{\alpha i}^N = X_{\alpha i} - \sum X_j h_{\alpha j i}$$

and the components of its covariant derivative are given by

$$(5.25) \quad \begin{aligned} X_{\alpha i j}^N &= X_{\alpha i j} + \sum \varepsilon_\beta X_{\alpha \beta} h_{\beta i j} + \sum X_{k i} h_{\alpha k j} - \sum X_{k j} h_{\alpha k i} \\ &\quad - \sum X_k h_{\alpha k i j} - \sum \varepsilon_\beta X_\beta h_{\beta k j} h_{\alpha k i}. \end{aligned}$$

Hence the Laplacian of X^N is given by

$$(5.26) \quad \Delta X_\alpha^N = \sum X_{\alpha i i}^N = \sum X_{\alpha i i} - \sum \varepsilon_\beta \sigma_{\alpha \beta} X_\beta.$$

On the other hand, we get from (5.22) and (5.23)

$$(5.27) \quad \sum X_{\alpha i i} = -n X_\alpha.$$

Thus as similarly as (5.21), we obtain

$$(5.28) \quad LZ^N = 0,$$

that is, Z^N is a *Jacobi field* on M .

Lemma 5.4. *Let Ω denote the vector space of Killing vector fields on S_p^{n+p} . For any $X \in \Omega$, X^N is a Jacobi field on M .*

By using the same arguments in the proofs of Lemmas 5.1.8 and 5.1.9 of [6], we have

Lemma 5.5. *Put $\Omega^N = \{X^N : X \in \Omega\}$. Then $\dim \Omega^N \geq (n+p-m)(n+1)$. $\dim \Omega^N = (n+p-m)(n+1)$ if and only if M is diffeomorphic to S^m and imbedded in the standard way as a totally geodesic submanifold.*

Consequently, we have an analogue of Theorem 5.1.1 of [6].

Theorem 5.6. *Let M be a compact m -dimensional Riemannian manifold imbedded in S_p^{n+p} ($m \leq n$) such that the mean curvature normal vanishes. Then the index of M is greater than or equal to $n+p-m$, and equality holds only when M is S^m . The nullity of M is greater than or equal to $(n+p-m)(m+1)$ and equality holds only when M is S^m .*

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