

ON A MONOID WHOSE SUBMONOIDS FORM A CHAIN⁰⁾

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§ 1. Introduction.

Generally the set \mathfrak{S} of submonoids of a monoid¹⁾ M constitutes a complete lattice.²⁾ Although it is of course that the structure of \mathfrak{S} is given by that of M , some property of M is characterized by a property of \mathfrak{S} . This paper is concerned with the determination of all types of a monoid whose all submonoids form a chain. We shall call such a monoid a I' -monoid. In case when M is a finite group, the problem is solved by R. Baer [1] *i. e.*,

Lemma 1. *The lattice formed by all submonoids of a finite group G is a chain if and only if G is a cyclic group of prime power order.*

In the present paper, it will be concluded that if a I' -monoid M is finite, M is a certain power monoid of order n , where $p^m \leq n \leq p^m + 2$, and p is a prime number, and if M is infinite, M is a limit group of finite cyclic groups of prime power order.

§ 2. Preliminaries.

In the below Lemmas 2 and 3 we assume M to be a monoid. Let us denote by $[a]$ a submonoid of M generated by only an element $a \in M$, *i. e.*,

$$[a] = \{a^i; i = 1, 2, 3, \dots\}.$$

If $[a]$ is infinite (finite), then the element a is said to be an element of infinite (finite) order or an infinite (finite) element. We define a quasi-ordering $a \leq b$ as $[a] \subset [b]$.

Lemma 2. *$a \leq b$ if and only if $a = b^n$ for some positive integer n .*

Proof. If $a = b^n$ for some n , then $a^m = (b^n)^m = b^{nm} \in [b]$ for every m . Therefore $[a] \subset [b]$. The converse is clear by the definition.

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¹⁾ The "monoid" and "submonoid" are synonyms of the "semigroup" and "subsemigroup" respectively. cf. N. Bourbaki; *Structure algebriques*.

²⁾ We shall consider even the empty set as a submonoid.

Let \bar{M} be a quotient set got by introducing into M the equivalence relation $a \sim b$ defined as $a \leq b$ and $b \leq a$. \bar{M} is a partly ordered set.

Lemma 3. *There is an element b different from a such that $a \sim b$ if and only if $[a]$ is a finite cyclic group of order $n \geq 3$.*

Proof. If $a \sim b$ as well as $a \neq b$, then $a = b^k$ and $b = a^m$ ($k \neq 1$, $m \neq 1$) by Lemma 2, and we have $a = a^{km}$ where $km \geq 4$. It follows that a is of finite order and it belongs to the greatest group G of $[a]$ (see [2]). Hence we get $[a] = G$. Next, supposing that $a = a^t$, $t = 2$ or 3 , it is readily led that $a = a^2 = b$. Therefore the order of G is at least 3. Conversely if $[a]$ is a cyclic group of order $n \geq 3$, there is a positive integer m such that $1 < m < n$ and m is relatively prime to n . Then $a^m \neq a$ and $a \sim a^m$. Thus the proof of the lemma has been completed.

Hereafter we assume \mathfrak{S} to be a chain, in other words, M to be a Γ -monoid, and \mathfrak{S} is represented as

$$\mathfrak{S} = \{S_\gamma; \gamma \in \Lambda\}$$

where the set Λ of suffixes is a chain, and has 0 as the least element and ξ as the greatest, i. e., $S_0 = \phi$, $S_\xi = M$, and $S_\gamma \subset S_\zeta$ for $\gamma < \zeta$.

Lemma 4. *Every submonoid of a Γ -monoid is a Γ -monoid.*

Proof. Let S be a submonoid of M and \mathfrak{X} be the set of all submonoids of S . Of course $\mathfrak{X} \subset \mathfrak{S}$. The ordering in \mathfrak{S} is preserved in \mathfrak{X} .

Lemma 5. *The homomorphic image $M' = f(M)$ of a Γ -monoid M by the homomorphism f is a Γ -monoid.*

Proof. Let S_γ' and S_ζ' be submonoids of M' , and let S_γ and S_ζ their inverse images by f respectively. By the assumption, either $S_\gamma \subset S_\zeta$ or $S_\zeta \subset S_\gamma$; and so evidently $f(S_\gamma) \subset f(S_\zeta)$ or $f(S_\zeta) \subset f(S_\gamma)$. Thus M' is proved to be a Γ -monoid.

Lemma 6. *If M is a Γ -monoid, every element of M is of finite order. Namely $[a]$ is a finite power monoid.*

Proof. Suppose that there is an infinite element $a \in M$. By Lemma 4, $[a]$ is a Γ -monoid. But we see that $[a]$ has two incomparable submonoids

$$[a^2] = \{a^{2^i}; i = 1, 2, 3, \dots\}, \quad [a^3] = \{a^{3^i}; i = 1, 2, 3, \dots\};$$

this is contradictory with the assumption. Hence every element is of finite order.

§ 3. Type of chain.

We denote by \mathfrak{S}' the set of all power submonoids of M . \mathfrak{S}' is a sub-chain of \mathfrak{S} admitting a chain I'' as an index set, and

$$\mathfrak{S}' = \{S_\gamma; \gamma \in I''\}, \quad I'' \subset I$$

where $S_\gamma \subset S_\xi$ for $\gamma < \xi$.

We easily have

Lemma 7. *Every S_γ is finite,*

Lemma 8. *M' is order-isomorphic with I'' .*

The following lemma is remarkable.

Lemma 9. *The ordinal number of I'' is not greater than the first infinite ordinal number ω .*

Proof. When I'' is finite, it is evident that I'' has finite ordinal number. We shall discuss as to the case that I'' is infinite. Let σ be any element of any subset Σ' of I'' . By Lemmas 7 and 8, the number of the elements of Σ' which lie before σ is finite; and so Σ' has a least element. In other words, I'' is a well-ordered set, the ordinal number of which we denote by γ . Since I'' is infinite, $\omega \leq \gamma$. Next, suppose that $\omega + 1 \leq \gamma$, then it follows that S_ω is infinite. This contradicts with Lemma 7. Henceforth we have $\gamma = \omega$.

According to the above lemmas, all elements of \mathfrak{S}' may be generally denoted as follows:

$$\begin{aligned} \text{if } M \text{ is finite,} \quad \phi &= S_{-1} \subseteq S_0 \subseteq S_1 \subseteq \cdots \subseteq S_n, \\ \text{if } M \text{ is infinite,} \quad \phi &= S_{-1} \subseteq S_0 \subseteq S_1 \subseteq \cdots \subseteq S_\gamma \subseteq S_{\gamma+1} \subseteq \cdots \end{aligned}$$

where $S_\gamma \subseteq S_\delta \subseteq S_{\gamma+1}$ for no $S_\delta \in \mathfrak{S}'$ ($\gamma = -1, 0, 1, 2, \dots$).

An increasing sequence $\{S_\gamma\}$ of power submonoids of M where there is no power submonoid S_δ such that $S_\gamma \subseteq S_\delta \subseteq S_{\gamma+1}$ is called a full chain of power submonoids of M .

Lemma 10. *An increasing sequence $\{S_\gamma\}$ is a full chain of power submonoids if and only if any element of $S_{\gamma+1} - S_\gamma$ generates $S_{\gamma+1}$.*

Proof. Suppose $\{S_\gamma\}$ is a full chain of M . Set $S_\gamma = [a]$ and $T = S_{\gamma+1} - S_\gamma$. Obviously $[x] \subset S_{\gamma+1}$ for any $x \in T$, and we get $[a] \subseteq [x] \subset S_{\gamma+1}$. Hence $[x] = S_{\gamma+1}$. Conversely if any element of $S_{\gamma+1} - S_\gamma$ generates $S_{\gamma+1}$, it is seen that there is no S_δ such that $S_\gamma \subseteq S_\delta \subseteq S_{\gamma+1}$.

From Lemma 10 we obtain easily the following

Lemma 11. *If M is a Γ -monoid, then there exists a full chain*

$$[a_0] \subsetneq [a_1] \subsetneq \cdots \subsetneq [a_\gamma] \subsetneq \cdots$$

of at most countable power submonoids such that $M = \bigcup_{\gamma=0}^{\infty} [a_\gamma]$.

A full chain $\{[a_\gamma]\}$ satisfying $M = \bigcup_{\gamma=0}^{\infty} [a_\gamma]$ is called a basic chain of M . The below lemma is worthy of notice.

Lemma 12. *If A monoid M has a basic chain $\{[a_\gamma]\}$, any proper submonoid of M is a power monoid.*

Proof. Let S be any proper submonoid of M . There exists greatest $\bar{\gamma}$ of γ such that $[a_\gamma] \subsetneq S$. For, if not so, $[a_\gamma] \subsetneq S$ for every γ , and so $M = S$. Now, since $[c] \subsetneq S$ for every $c \in S$, we have $c \in [c] \subsetneq [a_{\bar{\gamma}}]$; and $S \subsetneq [a_{\bar{\gamma}}]$. Combining it with $[a_{\bar{\gamma}}] \subsetneq S$, we get $S = [a_{\bar{\gamma}}]$.

Thus it is concluded that every submonoid of a Γ -monoid M is no other than a power monoid which forms a full chain of M .

The following theorems are immediately obtained.

Theorem 1. *If M is a Γ -monoid, the ordinal number of \mathfrak{S} is not greater than $\omega+1$, and every proper submonoid of M is a finite power monoid.*

Theorem 2. *A monoid M is a Γ -monoid if and only if M has a basic chain.*

As special case we have

Lemma 13. *If M is a Γ -monoid as well as a group, then $[a]$ is a prime power cyclic group for every $a \in M$. Moreover the order of $[a]$ is a power of the same prime number.*

Proof. Let a be any element different from the unit e of M . Of course $[a]$ is finite. We let n be the order of a :

$$a^n = e \quad (n > 1).$$

For every $m \geq n$, a^m belongs to the cyclic group, the greatest group G_0 of $[a]$ (see [2]).

From

$$\begin{aligned} a^n &= a^{2n} = e, \\ aa^{n-1} &= a^{n-1}a^{n-1}. \end{aligned}$$

Since M is a group, we get $a = a^{n+1}$ by multiplying the both sides by the inverse of a^{n-1} . Hence $a \in G_0$, that is to say, $[a]$ is a cyclic group. It is

owing to Lemma 1 that $[a]$ is a prime power group. The latter half of the lemma is readily shown.

§ 4. Type of difference monoid.

Lemma 14. *A Γ -monoid is unipotent inversible [3].*

Proof. If there exist distinct idempotents a and b in M , then $\{a\}$ and $\{b\}$ are incomparable submonoids of M . This conflicts with the assumption. Therefore M is unipotent. By Lemma 6, any element a is represented as $a^n = aa^{n-1} = e$ for some $n > 1$; that is, M is inversible.

According to [2] [3], $G = Me$ is the greatest group of M . We denote by M^* the difference monoid [4] of M modulo G . Then M^* is a Γ -zero-monoid [2] and every element of M^* is of finite order by Lemmas 5 and 6.

Lemma 15. *Let Z be a Γ -zero-monoid. Every element of Z is of order³⁾ at most 3.*

Proof. If there is an element x of order 4 in Z ,

$$[x] = \{x, x^2, x^3, 0\}, \quad x^4 = 0,$$

contains two incomparable submonoids

$$A = \{0, x^2\} \quad \text{and} \quad B = \{0, x^3\},$$

contradicting with the definition of a Γ -monoid. If there is an element $x \in Z$ is of order $n > 4$, then a power zero-monoid $[x]$ is homomorphic onto a power zero-monoid $C = \{X, X^2, X^3, X^4 = 0\}$ [2] and the submonoids S_1 and S_2 which correspond to $\{0, X^2\}$ and $\{0, X^3\}$ respectively are incomparable.

Theorem 3. *A zero-monoid Z is a Γ -monoid if and only if Z is a power zero-monoid of order⁴⁾ at most 3.*

Proof. Suppose that Z is a Γ -zero-monoid. If the number of elements of a zero-monoid Z is no less than 4 or infinite, Lemma 15 makes it possible for us to find different elements x and y having equal order m where m is 2 or 3. Then it is seen that $[x]$ and $[y]$ are incomparable submonoids of Z . Hence Z is composed of at most 3 elements. Conversely we shall prove that a zero-monoid of order at most 3 is a Γ -monoid.

³⁾ By the order n of an element x of a zero-monoid, we mean such n that $x^n = 0$ and $x^m \neq 0$ for $1 \leq m < n$.

⁴⁾ We mean the order of a monoid M the number of elements of M .

Since a zero-monoid of order 2 is nothing but

$$\begin{array}{c|cc} & 0 & a \\ \hline 0 & 0 & 0 \\ a & 0 & 0 \end{array},$$

the proof of this case is trivial. Using the theory of a finite zero-monoid [2] [5], it is proved that zero-monoids of order 3 have two types as the following:

$$\begin{array}{c|ccc} & 0 & a & b \\ \hline 0 & 0 & 0 & 0 \\ a & 0 & 0 & 0 \\ b & 0 & 0 & 0 \end{array}, \quad \begin{array}{c|ccc} & 0 & a & b \\ \hline 0 & 0 & 0 & 0 \\ a & 0 & 0 & 0 \\ b & 0 & 0 & a \end{array}.$$

The former is neither a power monoid nor a I' -monoid for \mathfrak{S} is

$$\begin{array}{c} \{0, a, b\} \\ \swarrow \quad \searrow \\ \{0, a\} \quad \{0, b\} \\ \swarrow \quad \searrow \\ \{0\} \end{array}$$

The latter is not only a power-monoid but a I' -monoid. In fact, \mathfrak{S} is

$$\begin{array}{c} \{0, a, b\} \\ | \\ \{0, a\} \\ | \\ \{0\}. \end{array}$$

Thus we have completed the proof.

By Theorem 3, the difference monoid M^* of M modulo G has been verified to consist of at most 3 elements.

§ 5. Infinite I' -monoid.

Now we shall determine the type of the infinite I' -monoid in this paragraph.

Lemma 16. *An infinite I' -monoid is a group.*

Proof. Let M be an infinite I' -monoid, and G be this greatest group. Suppose that $G \subsetneq M$, then G is finite by Theorem 1, and the difference monoid of M modulo G is finite by Theorem 3. Accordingly M is finite; this contradicts with the assumption. This shows that $G = M$.

As a result of Theorem 2, Lemmas 2 and 3, the structure of an infinite Γ -monoid is clarified in the following manner.

At first, we shall explain a "limit group of groups". There is given an increasing sequence of groups

$$G_0 \subset G_1 \subset G_2 \subset \cdots \subset G_\gamma \subset \cdots$$

and isomorphisms ϕ_δ^γ of G_γ into G_δ ($\gamma < \delta$) satisfying $\phi_\xi^\delta \phi_\delta^\gamma = \phi_\xi^\gamma$. Let G be the union of G_γ ($\gamma = 0, 1, 2, \dots$): $G = \bigcup_\gamma G_\gamma$ and let \bar{G} be the quotient set of G obtained by identifying

$$x \in G_\gamma \quad \text{with} \quad y = \phi_\delta^\gamma(x) \in G_\delta.$$

The product xy of x and y in \bar{G} is defined as the product of x and y in a certain group G_γ containing them. Then \bar{G} is clearly a group. \bar{G} is called a limit group of $\{G_\gamma; \phi_\delta^\gamma\}$.

Now, in an infinite Γ -monoid M there is a basic chain $\{[a_\gamma]\}$ such that $[a_\gamma]$ is a cyclic group of prime power order p^γ and

$$M = \bigcup_{\gamma=0}^{\infty} S_\gamma$$

where $S_\gamma = [a_\gamma]$, $a_0 = e$, $a_\gamma = a_{\gamma+1}^p$ ($\gamma = 0, 1, 2, \dots$).

It is readily seen that M is a limit group of $\{S_\gamma; \phi_\delta^\gamma\}$ where ϕ_δ^γ is a mapping of each element of S_γ into itself in S_δ .

Conversely, if we are given cyclic groups S_γ of order p^γ ($\gamma = 0, 1, 2, \dots$), an isomorphism ϕ_δ^γ of S_γ into S_δ is uniquely determined and it holds $\phi_\xi^\delta \phi_\delta^\gamma = \phi_\xi^\gamma$. Accordingly we can consider the limit group of $\{S_\gamma; \phi_\delta^\gamma\}$. Then the sequence

$$S_0 \subset S_1 \subset S_2 \subset \cdots \subset S_\gamma \subset \cdots$$

is a full chain of power submonoids of M , because there is no power submonoid S_δ between S_γ and $S_{\gamma+1}$ ($\gamma = 0, 1, \dots$). Consequently, by Theorem 2, M is a Γ -monoid and

$$M = \bigcup_{\gamma=0}^{\infty} [a_\gamma]$$

where a_γ is a generator of S_γ , or $\{[a_\gamma]\}$ is a basic chain of M .

Theorem 4. *An infinite Γ -monoid is a limit group of cyclic groups S_γ ($\gamma = 0, 1, \dots$) of order p^γ where p is a prime number, and vice versa.*

Corollary. *An infinite Γ -monoid is isomorphic with the additive group E of modulo 1 as follows.*

$$E = \left\{ \frac{m}{p^n}; m = 0, 1, 2, 3, \dots, p^n - 1; n = 0, 1, 2, 3, \dots \right\}.$$

§ 6. Finite Γ -monoids.

Finally we shall establish all types of finite Γ -monoids.

Lemma 17. *A finite Γ -monoid is a power monoid.*

Proof. The full chain of power monoids of a finite Γ -monoid M ceases at finite terms:

$$[a_0] < [a_1] < \dots < [a_n] \quad \text{and} \quad M = \bigcup_{i=0}^n [a_i].$$

Take any $x \in M$, then $x \in [a_t] < [a_n]$ for some $t \leq n$. Hence $M < [a_n]$; we have $M = [a_n]$.

Since the greatest group G of a finite Γ -monoid M is a cyclic group of prime power order p^m , the types of M is limited to the three, because of Theorem 3,

- (1) M is a power monoid of order p^m i. e., M is a cyclic group,
- (2) M is a power monoid of order p^m+1 ,
- (3) M is a power monoid of order p^m+2 ,

where p^m is the order of G .

Hereafter we shall investigate the types of (2) and (3).

Lemma 18. *Let p be a prime number. A power monoid M of order p^m+1 , whose greatest group G is of order p^m , is a Γ -monoid.*

Proof. Let a be a generator of M . It is not hard to see

$$M = \{a, a^2, a^3, \dots, a^{p^m}, a^{p^m+1}\},$$

where $a^2 = a^{p^m+2}$ and $G = \{a^2, a^3, \dots, a^{p^m+1}\}$.

Since a submonoid containing a coincides with M , we see easily that M is a Γ -monoid.

As to (3), we divide the cases into the two: $p \neq 2$ and $p = 2$.

Lemma 19. *Let p be a prime number $\neq 2$. A power monoid M of order p^m+2 , whose greatest group G is of order p^m , is a Γ -monoid.*

Proof. We may prove that a submonoid S containing a^2 is nothing but $\{a^2\} \cup G$. Given any $\mu_0 \geq 3$,

$$2\nu \equiv \mu_0 \pmod{p^m}$$

has a solution ν . This shows that all elements of G are generated by a^2 . Hence $S = \{a^2\} \cup G$.

Lemma 20. *A power monoid M of order 2^m+2 , whose greatest group is of order 2^m , is not a Γ -monoid.*

Proof. In order that the congruence equation

$$2\nu \equiv \mu_0 \pmod{2^m}$$

has a solution, μ_0 must be even. Let S be a power submonoid generated by a^2 , then $S \cap G = \{a^4, a^6, \dots, a^{2^{m+2}}\}$. It follows that S and G are incomparable.

Putting together Lemmas 18–20, we have

Theorem 5. *A finite monoid M is a Γ -monoid if and only if M is a power monoid of order n satisfying two conditions:*

- (1) *the greatest group G of M is of prime power order p^m ,*
- (2) $p^m \leq n \leq p^m + 1$ if $p = 2$,
 $p^m \leq n \leq p^m + 2$ if $p \neq 2$.

Thus we have established all types of finite or infinite Γ -monoids.

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